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COMPLIANCE UNIT BOARD REPORT

COMMITMENT – AWARENESS – OBJECTIVITY



Fernando Marino

For the month of March 2022



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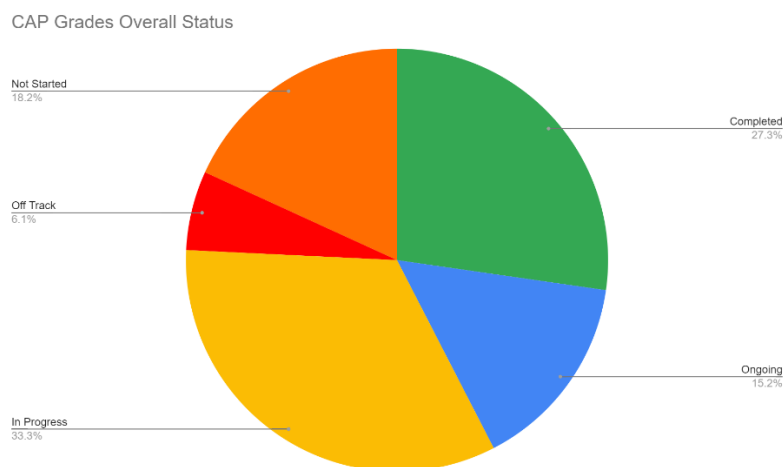
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Accomplishments

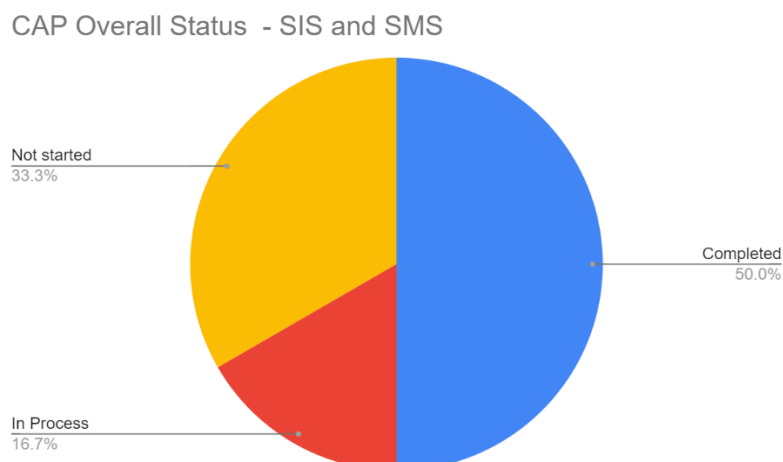
Corrective Action Plan (CAP) Oak Cliff Grades

The Compliance Unit created the CAP for grading issue at Oak Cliff Campus. The CAP was presented to conservator Susan Tim who approved the document and timelines embedded in the CAP. Status of the CAP can be found clicking [here](#). All stakeholders involved in the CAP signed and accepted the terms and conditions on March 10, 2022. Signed copy of the document is available [here](#). An overall status of the CAP is illustrated below:



Corrective Action Plan (CAP) for Student Information System (SIS) and Student Management System (SMS)

The Compliance Unit created the CAP for the PEIMS audit conducted by Region 10. The CAP was presented to conservator Susan Tim who approved the document and timelines embedded in the CAP. Status of the CAP can be found clicking [here](#). An overall status of the CAP is illustrated below:



360 and CIP Correlation

The Compliance Unit successfully correlated every condition from the renewal letter with a District or Campus Evaluation Review 360. See the chart below showing which 360 evaluation is related to each condition:

Condition # 1 Monitors to Conservators No 360 Required	Condition # 2 <ul style="list-style-type: none"> Award of credit - student placed in appropriate classes promptly Credit by exam - policies must be adopted by board Personal Graduation Plan - establishment Data Validation 360	Condition # 3 <ul style="list-style-type: none"> Individual Graduation Plan (IGCs) - implemented with Fidelity Tiered intervention for students facing IGCs Data Validation 360
Condition # 4 Transcript's accuracy Data Validation 360	Condition # 5 Credit Recovery - policies and procedures Data Validation 360	Condition # 6 Testing integrity Testing 360
Condition # 7 <ul style="list-style-type: none"> ESL - certifications Special Education - compliance Special Education - graduation ESL - compliance ESL & SPED 360	Condition # 8 Finance Internal Audits	Condition # 9 <ul style="list-style-type: none"> Certified Counselors Onboarding and annual training T-TESS and P-TESS Compliance Unit – reporting Special Reports
Condition # 10 <ul style="list-style-type: none"> Board training Human Resources - audits Management - training Engagement Governance 360		

360 Updates

Term 2 360s: The Compliance Unit completed the 360's for term II during the second week of term II. The results of the 360s for campuses and central office are shown below:

Central Office

360 Type	Responsible	Percent Achieved	Status
ESL	Jennifer Phillips	67.5%	Did Not Meet
Accountability	Joseph Mena	80%	Did Not Meet
Testing	Shanna Jones	100%	Meet
Curriculum	Stacy Venson	80%	Did Not Meet
Safety & Security	Scott Barrow	50%	Did Not Meet

Campuses Data Validation 360

Campus	Responsible	Percent Achieved	Status
Dallas North	Zack Cazares	85%	Did Not Meet
Oak Cliff	Isabel Salazar	80%	Did Not Meet
CFB	Rachel Moon	85%	Did Not Meet
Pleasant Grove	Mene Khepera	85%	Did Not Meet
Grant East	Robert McElroy	85%	Did Not Meet
Austin	Alba Marrero	90%	Meet
Westcreek	Kumasi Lewis	85%	Did Not Meet
Lancaster	Justin Reyes	95%	Meet
Houston North	Leon Gilmore	85%	Did Not Meet
Houston Hobby	Rawley Sanchez	85%	Did Not Meet
San Antonio	William Arevalo	95%	Meet
Houst-on SW	Martin Donald	90%	Meet
Garland	Jared Smith	85%	Did Not Meet

Attendance

Campus	Responsible	Percent Achieved	Status
Dallas North	Zack Cazares	80%	Meet
Oak Cliff	Isabel Salazar	100%	Meet
CFB	Rachel Moon	100%	Meet
Pleasant Grove	Mene Khepera	100%	Meet
Grant East	Robert McElroy	100%	Meet
Austin	Alba Marrero	80%	Did Not Meet
Westcreek	Kumasi Lewis	80%	Did Not Meet
Lancaster	Justin Reyes	80%	Did Not Meet
Houston North	Leon Gilmore	80%	Did Not Meet
Houston Hobby	Rawley Sanchez	80%	Did Not Meet
San Antonio	William Arevalo	100%	Meet
Houston SW	Martin Donald	90%	Did Not Meet
Garland	Jared Smith	100%	Meet

Data Clerk Centralization

Starting on November 2021 The Compliance Unit started leading this project under the supervision of our Senior Compliance Officer, Hilda Mendoza. The team is now named Data Entry Team below find the list of team progress. Find copy of the initial plan clicking [here](#). The initial plan has been executed successfully and now process, procedures, daily task, timelines, accuracy of data, monitoring systems and communication systems between campuses and data clerks are in place. To access to an overview of the executed plan click [here](#).

Team Accomplishments:

1. Team Established.
2. Processes Creation.
3. Routine, Practices, Rules and Procedures in Place.
4. Team elevated to a monitoring and controlling stage.

See the compliance monitoring section with dashboards for data accomplishments and data status from Data Entry Team.

PEIMS Submission

PEIMS department completed satisfactory and on time the following submissions:

- Civil Rights Data Collection
- Winter Class rosters
- Membership Reconciliation
- FSP Cycles

Current Projects

CIP Phase II

On March 31st 2022, our Senior Compliance Officer provided a status update of the Continuous Improve Plan (CIP) and discovery of next steps. A detail of the CIP can be found clicking [here](#).

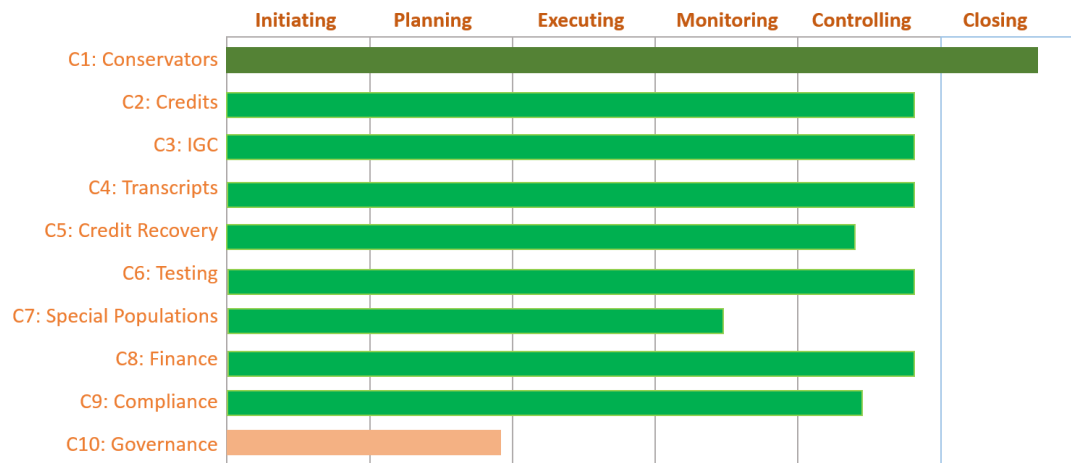
District Continuous Improvement Plan Update

Condition Number	Condition Name	CIP Action Steps Status
2a	Award of Credit - Compliance	In Progress
2b	High School Personal Graduations Plans- Counseling	On Track
2c	Curriculum Audit Recommendations - Stacy Venson	In Progress
3a	Individual Graduation Committees (IGCs)- Stacy Venson	On Track
3b	Tiered Intervention - Stacy Venson	On Track
4	Accuracy of Transcripts - Fernando Marino	On Track
5a	Limitation of Implementation - Gina Phillips	Not updated - Needs Immediate Revision
5b	Local Policy Development - Gina Phillips	In Progress
5c	Staff Attestation Credit - Gina Phillips	In Progress
6	Testing - Cynthia Miles	On Track

7a	Certifications - Mia Story	In Progress
7b	Special Education Compliance - Stacy Venson	On Track
7c	Special Education Graduation and Drop-Out Rate - Stacy Venson	On Track
7d	ESL Compliance - Jennifer Phillips	On Track
8	Finance - Marian Hamlett	On Track
9a	Compliance with TEC 33.007 - Mia Story	In Progress
9b	Human Resources - Mia Stroy	In Progress
9c	Accountability - Joseph Mena	On Track
9c	Educator/Superintendent Evaluation - Cynthia Miles	On Track
9d	Compliance Monitoring - Fernando Marino	On Track
10a	Board Training - James Ponce	Incomplete
10b	Human Resources Audit - Mia Story	On Track
10c	Change Management Training - James Ponce	Needs Revision
10d	High-Quality Engagement - James Ponce	Needs Revision

The above report shows the status of the CIP as of March 31, 2022.

Overall, the CIP stage is under **Monitoring / Controlling**.



Tracking Professional Development

Through the tracking training system, the Compliance Unit will be able verify that required training from CAP letter is provided in a timely matter and ensure attendance requirements are met. Find below all provided training until September 30, 2021:

Alignment Conference 2021-2022: SA Highland
 Alignment Conference 2021-2022: DFW, SA main, Austin
 Campuses
 Beginning of School Year Bulletin
 Course Prerequisites
 Course Sequencing
 CPS Reporting
 District Mission-100% Accurate Schedules
 Ellevation BOY Training
 Endorsements
 Enrollment Training Austin/SA-BOY
 Enrollment Training Dallas -BOY- Dallas Group 1
 Enrollment Training Dallas-BOY- Dallas Group 2
 Enrollment Training Fort Worth -BOY
 Enrollment Training Houston -BOY
 Enrollment Training Makeup
 eSchool & Infosnap, Google
 ESL Program Overview
 ESL Program Overview
 ESL Program Overview Presentation
 HB 5 State Graduation Requirements
 HB4545 Preview Only
 Instructional Coach Training - Start of School
 LPAC Framework Training

Math & Science
Meeting Norms
Online Learning
Other Graduation Requirements
PEIMS Coding
Personal Graduation Plan
SMS
Support Courses
Talk Read, Talk Write for Administrators
Test Training Name
TOT ELPS
Training
Transcript Audit Cycle
Transition 101- Indicator 13 Compliance

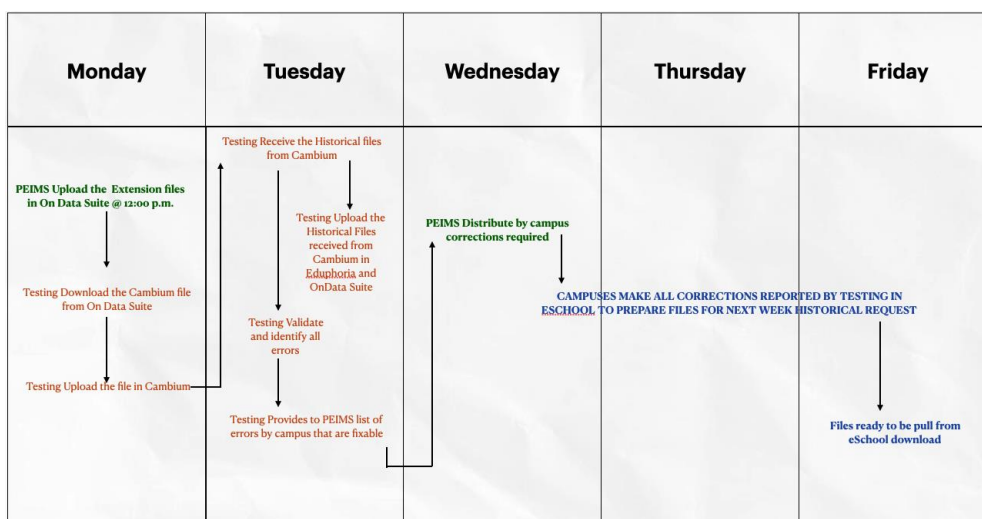
Aeries Software

The PEIMS department is leading the transition from eSchool to Aeries software. This project started on October 1st, 2021 and will continue throughout this school year. Click [here](#) to see the milestones and plan for the project. Project updates for the month of March 2022 are below:

- ✓ Master Schedule File was completed on January 20, 2021
- ✓ Aeries Academy training for Corporate, Principals, Assistant Principals, Counselors, Registrars and Data Clerks will be available after February 15, 2022.
- ✓ Special Population Coding will be mapped during the month of February.
- ✓ The Compliance Unit started reviewing some students in the application.
- ✓ PEIMS is considering the Integration between Tableau and Aeries. Cognos capability is not cost efficient connecting with Aeries.
- ✓ All Special Populations Codes are mapped.

Accountability Testing

The Compliance Unit, in conjunction with PEIMS department, has satisfactory completed the monthly uploads into the Ondata Suite Database following the process determined below:



Process Description

One of the goals of the compliance unit is to analyze the systems established to ensure compliance with TEA policies, plans, procedures, laws, and regulations that could have a significant impact on operations and reports and in determining the district's compliance status.

Compliance is ensuring that all the policies, procedures and processes are house in one location that is available for the entire organization: DocCentral.

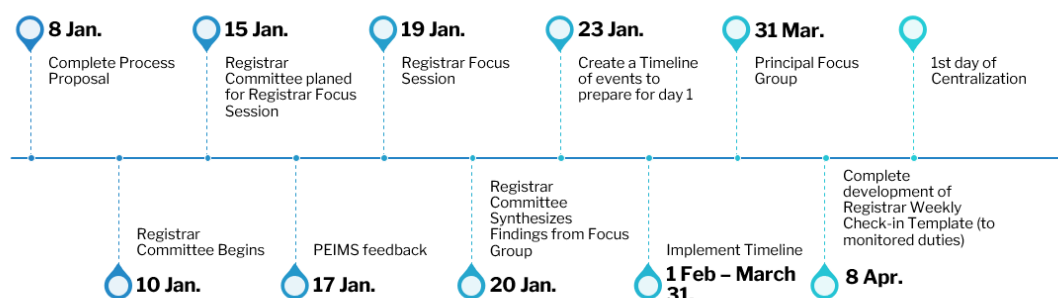
Compliance updates the material on DocCentral periodically at the request of district leaders.

Registrar Centralization

The Compliance Unit in conjunction with PEIMS, Registrars and Administrators is analyzing the proposed solution of Centralizing the Registrars under PEIMS/Compliance. Find copy of the plan clicking [here](#).

Timelines for this project is illustrated below:

PROJECT PLANNING TIMELINE



Compliance Monitoring

Award of Credits

The Compliance Unit ran the following reports to ensure that credits are awarding correctly. The data obtained from those reports are listed below and have been discussed with all the campuses during the 360 reviews:

- **Duplicate credits:**

Year	Duplicate Credits
2017	140
2018	308
2019	490
2020	495
2021	240
2022	Term I: 23 Term II: 16

- **Online Services class placement:**

As of March 31, 2022, a total of 2,514 Students are actively enrolled in Online Services. A total of 808 Courses have been completed 100% (0.5 Credits). Full report can be found clicking [here](#).

Online Services (OS) Report

SUMMARY

Category	Data Analysis 08-2021 to 03-2022
Total of Active Enrollments (OS)	4,201
Total Students Served Currently	3,422
Total Completed Courses	1,712
Total Courses Completed and Passed	1,684
Total Courses Completed and Fail	28

- **Credit by Exam (CBE) reports:**

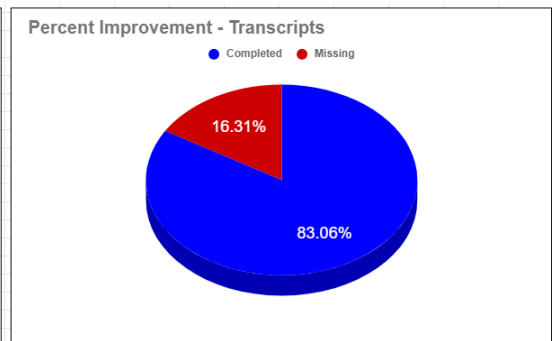
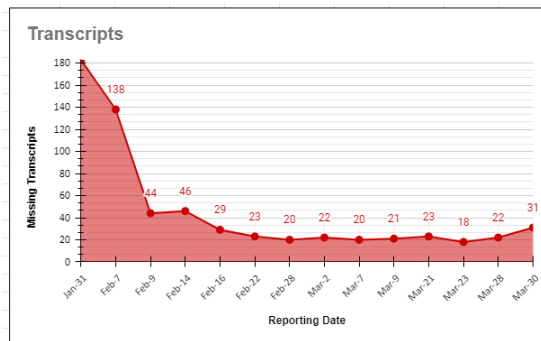
From the Grading Issue at Oak Cliff campus the testing department received 9 Credit by Exam Requests. The details of the timelines are described below:

1. Forms collected Monday, March 7th
2. Tests paid/ordered Monday, March 7th
3. Training for Oak Cliff Admin: Friday, March 11th
4. Emailed Texas Tech University CBE Contact Friday, March 11th
5. Tests will arrive one week prior to the test date: March 23, 2022
6. Test Date: March 31st (All test completed)
7. Round 2 Order deadline: March 25th, 2022 (NO submissions received)
8. Tests are graded within 2 days of receipt of exams to Texas Tech University

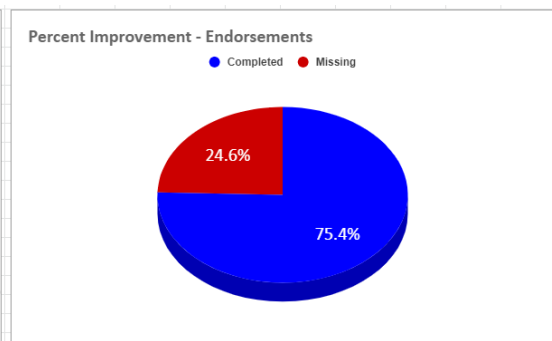
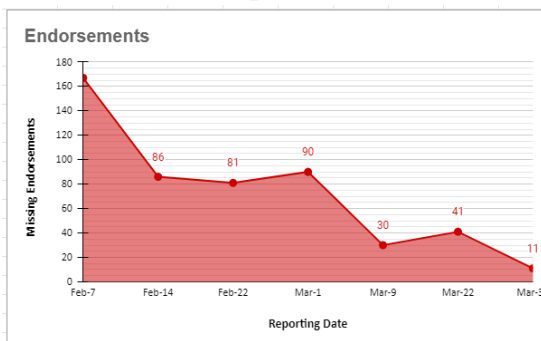
Academic Achievement Records (AAR)

At the end of March 2022, the Compliance Unit ran the following reports to ensure that credits are awarding correctly:

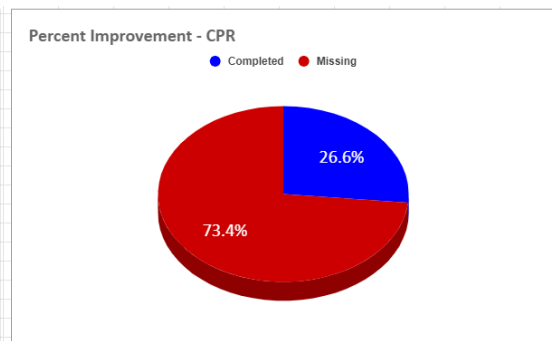
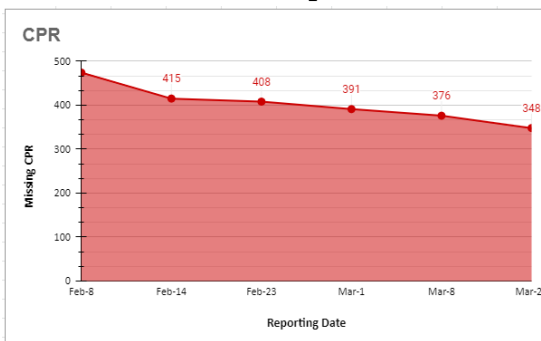
- **Incoming Transcripts Status:**



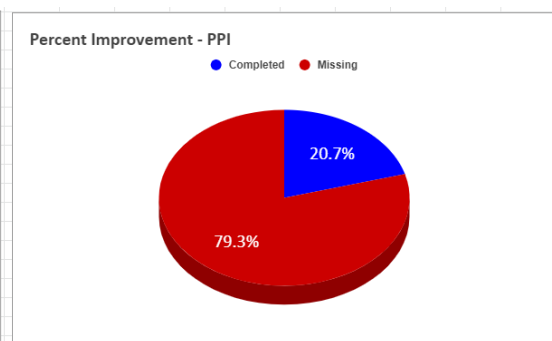
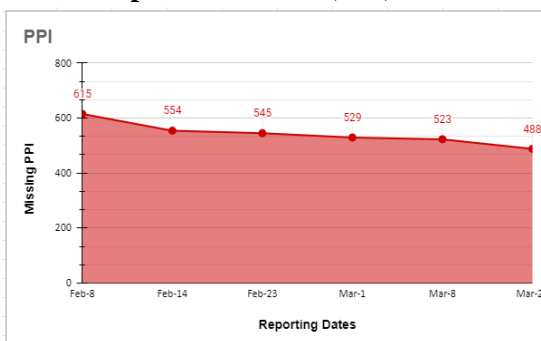
- **Endorsements Completion Status**



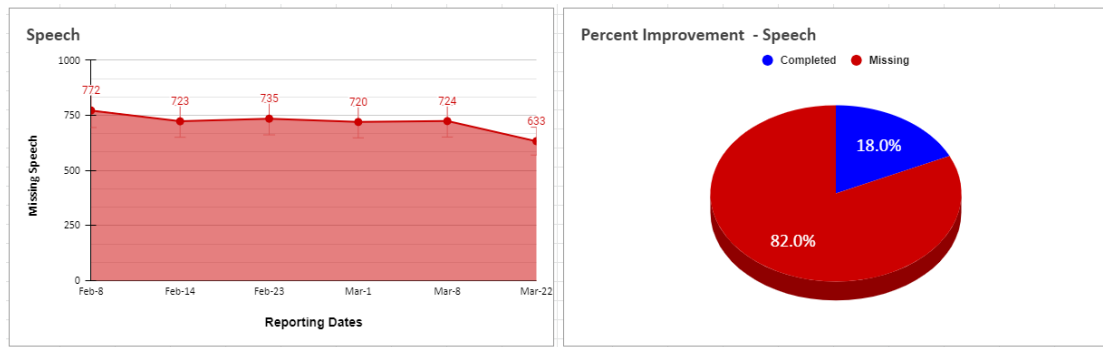
- **CPR Certifications Completed:**



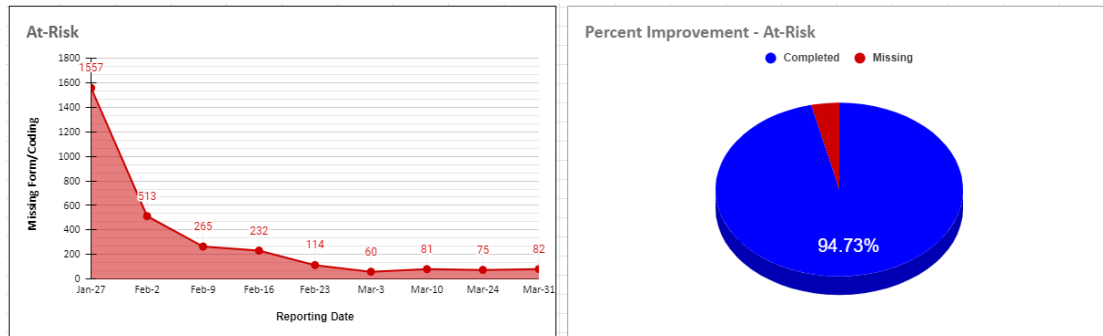
- **Police Proper Interaction (PPI) Certification:**



- **Speech Requirement**



- **At Risk**



Personal Graduation Plan (PGP)

The Personal Graduation Plan completion data is illustrated below:

The PGP Goal is to complete all 9th Grades Students by April 1st, 2022. The results below are showing which PGPs have been completed by March 31, 2022.

Campus	9 th Graders	PGPs Completed	Completion %	Status
Dallas North	84	82	98%	Completed
Oak Cliff	90	86	95.5%	Did Not Meet
CFB	101	76	75%	Did Not Meet
Pleasant Grove	154	146	95%	Did Not Meet
Grant East	102	102	100%	Completed
Austin	88	84	95.5%	Did Not Meet
Westcreek	81	79	98%	Completed
Lancaster	144	138	96%	Did Not Meet
Houston North	105	105	100%	Completed
Houston Hobby	73	12	16.5%	Did Not Meet
San Antonio	67	35	52%	Did Not Meet
Houston SW	122	120	98%	Completed
Garland	58	58	100%	Completed

PEIMS Coding

Conservators and Board Members will now have access to the live data from Texans Can. This data platform will provide information on Attendance, Demographics, CCMR, and more. The PEIMS department, under the Compliance Unit, will upload the data every month. To access the data dashboards, see instructions below. The data available represent last school year. If

you need access to the database, please contact compliance@texanscan.org. Reports can be seeing below:

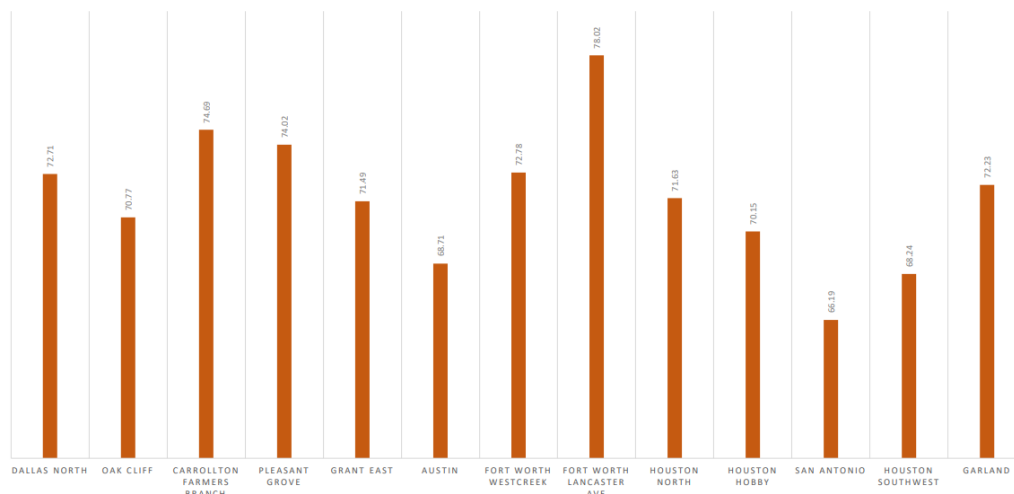
Student Demographics (2021 - 2022 Preliminary Fall PEIMS file loaded 01/12/2022)			Count	Percent
Gender				
Female			2,410	47.85%
Male			2,627	52.15%
Ethnicity				
Hispanic-Latino			3,331	66.13%
Race				
American Indian - Alaskan Native			9	0.18%
Asian			12	0.24%
Black - African American			1,490	29.58%
Native Hawaiian - Pacific Islander			2	0.04%
White			101	2.01%
Two-or-More			92	1.83%

Student by Program (2021 - 2022 Preliminary Fall PEIMS file loaded 01/12/2022)			Count	Percent
Emergent Bilingual (EB)			1,580	31.37%
Bilingual			0	0.00%
English as a Second Language (ESL)			1,409	27.97%
Alternative Bilingual Language Program			0	0.00%
Alternative ESL Language Program			0	0.00%
Gifted and Talented			0	0.00%
Special Education (SPED)			487	9.67%
Title I Participation			5,037	100.00%
Dyslexia			136	2.70%
Economic Disadvantage				
Economic Disadvantage Total			4,641	92.14%
Free Meals			4,630	91.92%
Reduced-Price Meals			11	0.22%
Other Economic Disadvantage			0	0.00%
Homeless Statuses				
Homeless Status Total			7	0.14%
Shelter			1	0.02%
Doubled Up			2	0.04%
Unsheltered			1	0.02%
Hotel/Motel			3	0.06%

Other Student Information (2021 - 2022 Preliminary Fall PEIMS file loaded 01/12/2022)			Count	Percent
At-Risk			4,763	94.56%
Title I Homeless			0	0.00%
Immigrant			0	0.00%
Migrant			1	0.02%
Military Connected			0	0.00%
Foster Care			0	0.00%
CTE Single Parent/Pregnant Teen			0	0.00%
Section 504			282	5.60%
Intervention Indicator			1	0.02%
IEP Continuer			109	2.16%
Transfer In Students			0	0%

August 16th to March 28th, 2022

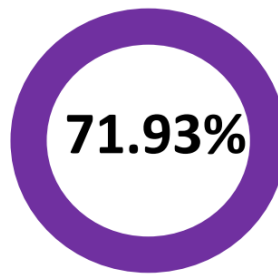
ATTENDANCE



Enrollment
03/28/2022



ATTENDANCE
08-16 to 3-28



ADA
08-16 to 3-28



Week	Attendance	ADA	Week	Attendance	ADA
8/16 - 8/20	88.15%	2292	1/24 - 1/28	70.5%	3457
8/23 - 8/27	78.84%	3254	1/31 - 2/04	75.78%	3803
8/30 - 9/03	77.19%	3400	02/07 - 02/11	76.87%	3887
9/07 - 9/10	75.59%	3530	2/14 - 02/18	78.66%	3964
9/20 - 9/24	73.74%	3629	2/21 - 2/25	64.77%	3234
9/27 - 10/01	73.2%	3643	2/28 - 03/04	76.66%	3808
10/04 - 10/08	74.08%	3723	3/7 - 3/11	77.48%	3547
10/11 - 10/15	76.07%	3818	3/14 - 3/18	SPRING	BREAK
11/01 - 11/05	73.91%	3797	3/21 - 3/25	72.51%	3444
11/08 - 11/12	76.02%	3897			
11/15 - 11/19	73.48%	3675			
11/29 - 12/03	72.76%	3566			
12/06 - 12/10	85.62%	4113			
12/13 - 12/17	68.48%	3234			
1/3 - 1/7	63.68%	3043			
1/10 - 10/14	82.22%	3834			
1/18 - 1/21	79.93%	3812			

OTHER TASKS ASSIGNED BY CONSERVATORS

Graduation Report

The Compliance Unit is prepared to receive 2021-22 Graduates.

Status	Number of Students
2021-22 Graduated	76 Total (5 Highlands)
2021-22 Pending Graduation	4 Students
Graduating with IGC	6
Total Rejections	26
IGC Rejections ONLY	10

Enrollment Metrics

The Compliance Unit completed a report until March 31, 2022. Click to [here](#) to see the report.

Graduates Transcripts

A total of 76 transcripts have been upload in the Dropbox folder shared with conservators.

IGC Projects

The Compliance Unit have received a total of 21 IGC projects from 18 different students, all the projects have been individualized by each student. Each project received from campuses have been submitted to 3 evaluators that have conducted a detail review. The review results are below:

Action	Number of Projects
Approved	17
Rejected for Fraud	1
Rejected for Plagiarism	1
Require Feedback	6

Students Placed in Appropriate Classes

To fulfill with this condition the following items are under detail review by the compliance unit:

1. Data Roster inside of SMS: During the 360 this area was reviewed and the following campuses were not in compliance: Dallas North. Due to staff shortage Pleasant Grove, Austin and CFB were not evaluated in this area.
2. TREx: The TREx report that is inside of the enrollment metrics demonstrate that only 6 students were missing TREx and Transcripts.
3. Duplicate Credits: The Duplicate Credit report above demonstrate the district success in this condition. The Data Entry team is collaborating with the Counseling Unit to proactively prevent future duplicates.
4. Blanks on EOC Scores: The Data Entry team during the month of March 2022, review every single blank score in the student's transcripts. The team found 2,543 records missing scores and a report was provided to Counseling Department for a second review to ensure students are placed in appropriate classes.

Special Request Conservators

Corrective Action Plan for Grades and SIS-SMS, See above under accomplishments area.

Satisfying Renewal Conditions:

During the weekly meeting with Conservator Dr. Hayes, a few questions were raised regarding satisfying certain conditions from the renewal letter. Answers to those questions are detailed below:

- a. Renewal Condition 2A, Students are placed in appropriate classes within one day of enrollment. *SMS under the data roster capability will allow the Compliance Unit to run reports to ensure that students are appropriately placed in the correct classes.*
- b. Renewal Condition 2b, TCA will implement a Personal Graduation Plan (PGP) that must (1) identify a course of study that fulfills the graduation requirements, (2) promote college and workforce readiness, and (3) facilitate the student's transition from secondary to postsecondary education. *SMS Fulfill this requirement. During a meeting with Dr. Hayes on October 27, 2021, the Compliance Unit demonstrated the difference between PGPs in Eduphoria and our new PGPs platform that was launched this month. The PGPs under SMS meet all the standards requested by TEA under this condition.*
- c. Renewal Condition 2b, TCA principals will designate a school counselor or administrator to review a PGP whenever a student first enters the charter school, regardless of the student's current grade. *SMS Fulfill this requirement.*
- d. Renewal Condition 2b, The PGP will be developed and kept in each student's permanent record folder; TCA staff must review the PGP with students annually; it will be signed and dated each year. *SMS Fulfill this requirement.*
- e. Renewal Condition 2b, Students entering their senior year shall have their PGP signed by the Superintendent to indicate that they are on track to graduate and that course credits align with graduation requirements. Per the PGP plan described above, this condition will be fulfilled in January. *SMS will provide reports that will analyze data and ensure that Superintendent is signing a plan with Fidelity.*

Fernando Marino
Compliance Unit Director
